

JAN 3 REC'D PCT/PTO 11 FEB 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>06053/LH</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP2005/010509</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>06/500/35</b>
INTERNATIONAL FILING DATE <b>08 JUNE 2005</b>		PRIORITY DATE CLAIMED <b>15 JUNE 2004</b>
TITLE OF INVENTION <b>MONITOR PHOTODETECTOR-EQUIPPED OPTICAL MODULATOR</b>		
APPLICANT(S) FOR DO/EO/US <b>Kenji KAWANO; Masaya NANAMI; Makoto SAITO; Toru NAKAHIRA; Yuji SATO &amp; Seiji UCHIDA.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> has been communicated by the International Bureau.		
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
a. <input checked="" type="checkbox"/> is attached hereto.		
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> have been communicated by the International Bureau.		
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.		
d. <input type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment.		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information:		

Int. search report; 8 references; 16 sheets formal drawings (Figs. 1-22); Change of Correspondence Address; Request for Publ. of Assignment Information.

Express Mail Label No. EV 784 472 791US

Date of Deposit: February 1, 2006

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

*Barbara Villani*  
Barbara Villani

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/566735</b>		INTERNATIONAL APPLICATION NO. PCT/JP2005/010509		ATTORNEY'S DOCKET NUMBER 06053/LH	
The following fees have been submitted				<b>CALCULATIONS</b> <b>PTO USE ONLY</b>	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$	300.00
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200				\$	200.00
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$	400.00
<b>TOTAL OF 21, 22 and 23 =</b>				\$	900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	11 - 20 =	0	x \$ 50	\$	.00
Independent claims	1 - 3 =	0	x \$200	\$	.00
MULTIPLE DEPENDENT CLAIM(S) (If applicable)			+ \$360	\$	.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	900.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
<b>SUBTOTAL =</b>				\$	900.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(l)).				\$	.00
<b>TOTAL NATIONAL FEE =</b>				\$	900.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	40.00
<b>TOTAL FEES ENCLOSED =</b>				\$	940.00
				Amount to be refunded:	\$ .00
				Amount to be charged:	\$ .00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<b>CUSTOMER NO. 01933</b>					
Leonard Holtz Frishauf, Holtz, Goodman & Chick P.C. 220 5TH AVE FL 16 NEW YORK NY 10001-7708      LH:bv					
				SIGNATURE	
				NAME	Leonard Holtz
				REGISTRATION NUMBER	22,974

IAP20 Rec'd PCT/PTO 01 FEB 2006


PTO/SB/122 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>CHANGE OF CORRESPONDENCE ADDRESS Application</b>  <b>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA. 22313-1450</b>	Application Number	Not yet assigned--
	Filing Date	Herewith <b>110/566735</b>
	First Named Inventor	KAWANO
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	06053/LH

Please change the Correspondence Address for the above-identified application to:				
<input checked="" type="checkbox"/> Customer Number [ 01933 ] →				
<b>OR</b>				
<div style="border: 1px solid black; width: 150px; height: 100px; margin: 0 auto;"></div>				
<input type="checkbox"/> Firm or Individual Name				
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Address				
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Telephone			Fax	
<p>This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).</p> <p>I am the:</p> <p><input type="checkbox"/> Applicant/Inventor.</p> <p><input type="checkbox"/> Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).</p> <p><input checked="" type="checkbox"/> Attorney or Agent of record. <b>Registration No. 22,974</b></p> <p><input type="checkbox"/> Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.</p>				
Typed or Printed Name	Leonard Holtz, Reg. No. 22,974			
Signature				
Date	February 1, 2006		Telephone: (212) 319-4900	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.				
<input type="checkbox"/> Total of _____ forms are submitted.				

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing and submitting the completed application to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10/566735

IAP20 Rec'd PCT/PTO 01 FEB 2006

Attorney Docket No. 06053/LH

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No.: EV 784 472 791 US

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Date of Deposit: February 1, 2006

Applicant(s): K. KAWANO et al

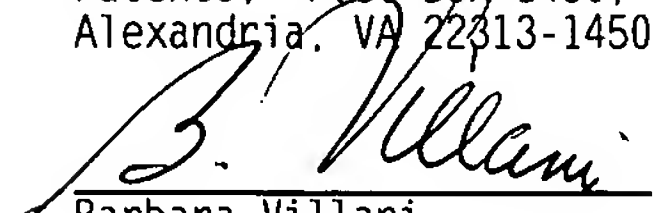
Serial No. : National Phase of  
PCT/JP2005/010509

Filed : Herewith

For : MONITOR PHOTODETECTOR  
EQUIPPED OPTICAL  
MODULATOR

Art Unit :  
Examiner :

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Barbara Villani

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**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

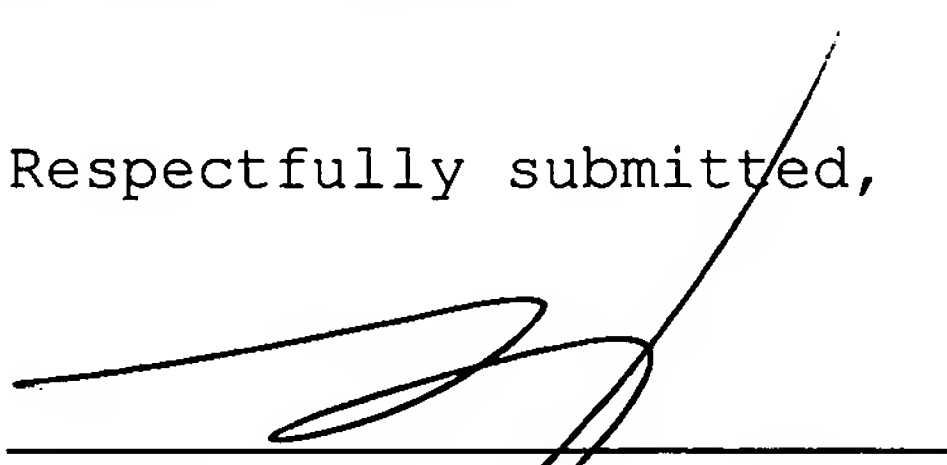
Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be  
published as part of the 18 month publication of the present  
application:

**Anritsu Corporation  
5-1-1, Onna, Atsugi-shi,  
Kanagawa 243-8555 JAPAN**

Respectfully submitted,

  
LEONARD HOLTZ  
Reg. No. 22,974

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